

# Eliminationsverfahren für nicht-lineare PDE-Systeme

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**RESEARCH  
WITH  
PLYMOUTH  
UNIVERSITY**

# Algebraische Geometrie

$$\left\{ \begin{array}{l} \mathbb{R} \rightarrow \mathbb{R}^2 \\ t \mapsto \left( \frac{2t}{t^2+1}, \frac{t^2-1}{t^2+1} \right) \end{array} \right. \quad \begin{array}{c} y \\ \uparrow \\ \text{---} \bigcirc \text{---} x \end{array} \quad x^2 + y^2 - 1 = 0$$

Eliminiere  $t$  in  $x = \frac{2t}{t^2+1}, \quad y = \frac{t^2-1}{t^2+1} \quad \dots$

# Spezielle Lösungen

$$\frac{\partial v}{\partial t} + v \cdot \nabla v - \nu \Delta v + \frac{1}{\rho} \nabla p = 0 \quad (\text{Navier-Stokes})$$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho v) = 0$$

Zylinderkoordinaten  $r, \theta, z$ ,  $\rho \equiv 1$  (inkompressibler Fluss)

$$\text{Ansatz: } v_i(r, \theta, z) = f_i(r)g_i(\theta)h_i(z), \quad i = 1, 2, 3$$

$$\begin{aligned} \text{PDE: } \quad & uu_{x,y} - u_x u_y = 0, & u &\in \{v_1, v_2, v_3\}, \\ & & (x, y) &\in \{(r, \theta), (r, z), (\theta, z)\} \end{aligned}$$

eines der vielen einfachen Systeme der Thomas-Zerlegung:

$$v(t, r, \theta, z) = \left( -\frac{(t+c_2)F_1(t)}{r} - \frac{r}{2(t+c_2)}, \frac{(\theta+c_1)r}{t+c_2}, 0 \right),$$

$$\begin{aligned} p(t, r, \theta, z) = & (t+c_2) \ln(r) \dot{F}_1(t) - \frac{(t+c_2)^2 F_1(t)^2}{2r^2} + (\ln(r) + (\theta+c_1)^2) F_1(t) \\ & + F_2(t) - \frac{2\nu \ln(r)}{t+c_2} + \frac{((\theta+c_1)^2 - \frac{3}{4})r^2}{2(t+c_2)^2}. \end{aligned}$$

# Outline

- Thomas-Zerlegung für Differentialsysteme
- Implizitisierungsproblem
- Eliminationsverfahren

# 1. Thomas-Zerlegung für Differentialsysteme

# Systeme von linearen PDEs

$$\begin{cases} \frac{\partial^2 u}{\partial x \partial y} - \frac{\partial u}{\partial y} = 0 \\ \frac{\partial^2 u}{\partial x^2} - \frac{\partial u}{\partial y} = 0 \end{cases} \quad \text{bestimme: } u = u(x, y) \text{ analytisch}$$

$$\frac{\partial}{\partial x} \left( \frac{\partial^2 u}{\partial x \partial y} - \frac{\partial u}{\partial y} \right) - \frac{\partial}{\partial y} \left( \frac{\partial^2 u}{\partial x^2} - \frac{\partial u}{\partial y} \right) = \frac{\partial^2 u}{\partial y^2} - \frac{\partial u}{\partial y} = 0$$

$$u(x, y) = a_{0,0} + a_{1,0} x + a_{0,1} y + a_{2,0} \frac{x^2}{2!} + a_{1,1} \frac{xy}{1!1!} + a_{0,2} \frac{y^2}{2!} + \dots$$

*Janet-Algorithmus* bestimmt Vektorraum-Basis für Potenzreihenlösungen

(Maurice Janet,  $\sim$  1920)

# Janet-Algorithmus

$$\left\{ \begin{array}{ll} u_{y,y} = 0 & A \\ u_{x,x} - y u_{z,z} = 0 & B \end{array} \right.$$

ist äquivalent zu

$$\left\{ \begin{array}{ll} u_{y,y} = 0 & A \\ u_{x,x} - y u_{z,z} = 0 & B \\ u_{y,z,z} = 0 & \frac{1}{2}(\partial_x^2 - y \partial_z^2)A - \frac{1}{2}\partial_y^2 B \\ u_{x,y,y} = 0 & \partial_x A \\ u_{z,z,z,z} = 0 & \frac{1}{2}(\partial_x^4 - 2y \partial_x^2 \partial_z^2 + y^2 \partial_z^4)A - \frac{1}{2}(\partial_x^2 \partial_y^2 - y \partial_x^2 \partial_z^2 + 2\partial_y \partial_z^2)B \\ u_{x,y,z,z} = 0 & \frac{1}{2}(\partial_x^3 - y \partial_x \partial_z^2)A - \frac{1}{2}\partial_x \partial_y^2 B \\ u_{x,z,z,z,z} = 0 & \frac{1}{2}(\partial_x^5 - 2y \partial_x^3 \partial_z^2 + y^2 \partial_x \partial_z^4)A - \frac{1}{2}(\partial_x^3 \partial_y^2 + y \partial_x \partial_y^2 \partial_z^2 - 2\partial_x \partial_y \partial_z^2)B \end{array} \right.$$

Taylor-Koeff. zu  $1, z, y, x, z^2, yz, xz, xy, z^3, xz^2, xyz, xz^3$  frei wählbar,  
alle anderen dadurch bestimmt (lineare Gleichungen)

# Polynomiale ODEs / PDEs

$$\left(\frac{du}{dt}\right)^2 - 4t \frac{du}{dt} - 4u + 8t^2 = 0$$

bestimme:  $u = u(t)$  analytisch

$$u(t) = a_0 + a_1 t + a_2 \frac{t^2}{2!} + a_3 \frac{t^3}{3!} + \dots$$

Einsetzen und Koeffizientenvergleich:

$$\begin{cases} a_1^2 - 4a_0 = 0 \\ 2a_1 a_2 - 8a_1 = 0 \\ a_1 a_3 + a_2^2 - 6a_2 + 8 = 0 \\ \vdots \end{cases}$$

$$a_0 := 0 \quad \Rightarrow \quad a_1 = 0$$

$$\Rightarrow (a_2 - 2)(a_2 - 4) = 0$$

Viele Fallunterscheidungen?

*Thomas-Algorithmus*  $\rightsquigarrow$  endlich viele sog. einfache Systeme

(Joseph Miller Thomas,  $\sim$  1930)



# Algebraische Geometrie

$$L = \{ p_1(x_1, \dots, x_n) = 0, \dots, p_r = 0, q_1 \neq 0, \dots, q_s \neq 0 \}$$

polynomiale Gleichungen (und Ungleichungen)

$$\text{Sol}(L) = \{ a \in \mathbb{C}^n \mid p_i(a) = 0, q_j(a) \neq 0 \forall i, j \}$$

Umgekehrt sei  $S \subseteq \mathbb{C}^n$ .

$$\mathcal{I}(S) = \{ p \in \mathbb{C}[x_1, \dots, x_n] \mid p(a) = 0 \forall a \in S \}$$

**Nullstellensatz (Hilbert, 1893)** (für Gleichungen)

$$\text{Radikalideale von } \mathbb{C}[x_1, \dots, x_n] \quad \begin{array}{c} \xrightarrow{\text{Sol}} \\ \xleftarrow{\mathcal{I}} \end{array} \quad \text{Nullstellenmengen in } \mathbb{C}^n$$

sind zueinander inverse Bijektionen.

# Differential-algebraische Geometrie

*Differentialalgebra* (Ritt, Kolchin, Seidenberg, ...)

$\mathbb{Q} \subseteq K$  Differentialkörper mit kommutierenden Derivationen  $\partial_1, \dots, \partial_n$

*Differentialpolynomring* mit Derivationen  $\partial_1, \dots, \partial_n$

$$K\{u\} := K[\partial_1^{i_1} \cdots \partial_n^{i_n} u \mid i \in (\mathbb{Z}_{\geq 0})^n] = K[u, u_{z_1}, \dots, u_{z_n}, u_{z_1, z_1}, \dots]$$

$K\{u\}$  nicht Noethersch (z.B.  $[u'u'', u''u''', \dots] \subseteq K\{u\}$  nicht endl. erz.)

**Satz.** (Ritt-Raudenbush).

Jedes Radikal-Differentialideal von  $K\{u_1, \dots, u_m\}$  ist endlich erzeugt, ist Schnitt von endlich vielen Prim-Differentialidealen.

**Satz.** (Differenzieller Nullstellensatz).

Jedes Radikal-Differentialideal  $I \subsetneq K\{u_1, \dots, u_m\}$  hat eine Lösung in einer Diff.-Körpererweiterung von  $K$ . Verschwindet  $f \in K\{u_1, \dots, u_m\}$  auf allen Lösungen von  $I$ , so ist  $f \in I$ .

# Thomas-Zerlegung für nicht-lineare PDEs

$$K\{u\} = K[u, u_x, u_y, \dots, u_{x,x}, u_{x,y}, u_{y,y}, \dots] \quad \text{Differentialpolynomring}$$

$$u < \dots < u_y < u_x < \dots < u_{y,y} < u_{x,y} < u_{x,x} < \dots \quad (\text{ranking})$$

$$\text{algebraische Reduktion:} \quad p = u_{x,x,y}^3 + \dots$$

$$q = c u_{x,x,y}^2 + \dots$$

$$p \rightarrow r = c \cdot p - u_{x,x,y} \cdot q$$

$$\text{differentielle Reduktion:} \quad p = u_{x,x,y,y}^3 + \dots$$

$$q = c u_{x,x,y}^2 + \dots$$

$$\partial_y q = \frac{\partial q}{\partial u_{x,x,y}} u_{x,x,y,y} + \dots$$

$$p \rightarrow r = \frac{\partial q}{\partial u_{x,x,y}} \cdot p - u_{x,x,y,y}^2 \cdot \partial_y q$$

$$\text{Reduktion verlangt:} \quad \text{Initial} \quad c \neq 0 \quad \text{und Separante} \quad \frac{\partial q}{\partial u_{x,x,y}} \neq 0$$

# Thomas-Zerlegung für nicht-lineare PDEs

$$R = K\{u_1, \dots, u_m\}$$

**Def.** *Thomas-Zerlegung* eines Differentialsystems  $S$  (oder  $\text{Sol}(S)$ ):

$$\text{Sol}(S) = \text{Sol}(S_1) \uplus \dots \uplus \text{Sol}(S_r), \quad S_i \text{ einfaches Differentialsystem}$$

**Satz.**  $S = \{p_1 = 0, \dots, p_s = 0, q_1 \neq 0, \dots, q_t \neq 0\}$  einf. Diff.-System

$E$  Differentialideal erzeugt von  $p_1, \dots, p_s$

$q$  Produkt der Initiale und Separanten aller  $p_i$

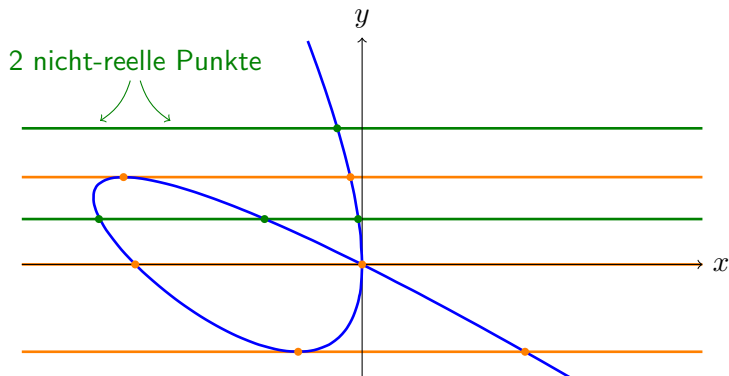
Dann besteht

$$E : q^\infty := \{p \in R \mid q^r \cdot p \in E \text{ für ein } r \in \mathbb{Z}_{\geq 0}\} = \mathcal{I}_R(\text{Sol}(S))$$

aus allen Differentialpolynomen in  $R$ , die auf  $\text{Sol}(S)$  verschwinden.

# Thomas-Zerlegung

$$p = x^3 + (3y + 1)x^2 + (3y^2 + 2y)x + y^3 = 0$$



$$\text{disc}_x(p) = y^2(4 - 27y^2)$$

# Thomas-Zerlegung

$$p = ax^2 + bx + c = 0, \quad p \in \mathbb{Q}[x, c, b, a], \quad x > c > b > a$$

$$a\underline{x}^2 + b\underline{x} + c = 0$$

$$4a\underline{c} - b^2 \neq 0$$

$$a \neq 0$$

$$x_1 \neq x_2$$

$$2a\underline{x} + b = 0$$

$$4a\underline{c} - b^2 = 0$$

$$a \neq 0$$

$$x_1 = x_2$$

$$b\underline{x} + c = 0$$

$$b \neq 0$$

$$a = 0$$

$$x_1$$

$$c = 0$$

$$b = 0$$

$$a = 0$$

$$\text{alle } x \in \overline{\mathbb{Q}}$$

lösen  $p(x) = 0$  für feste Wahl von  $a, b, c$

# Thomas-Zerlegung

$$S = \{ p_1 = 0, \dots, p_s = 0, q_1 \neq 0, \dots, q_t \neq 0 \}$$

**Def.** *Thomas-Zerlegung* eines Differentialsystems  $S$  (oder  $\text{Sol}(S)$ ):

$$\text{Sol}(S) = \text{Sol}(S_1) \uplus \dots \uplus \text{Sol}(S_r), \quad S_i \text{ einfaches Differentialsystem}$$

**Def.**  $S$  ist *einfach*, wenn

- (a)  $p_1, \dots, p_s, q_1, \dots, q_t$  haben paarweise verschiedene Leitvariablen,
- (b) Leitkoeff. und Diskriminanten von  $p_i$  und  $q_j$  verschwinden nicht,
- (c)  $p_1, \dots, p_s$  bilden passives PDE-System,
- (d)  $q_1, \dots, q_t$  sind reduziert modulo  $p_1, \dots, p_s$ .

*zulässige Derivationen*  $\mu_i \subseteq \{\partial_1, \dots, \partial_n\}$  für  $p_i$ ,  $i = 1, \dots, s$

# Thomas-Zerlegung

$$p = \dot{u}^2 - 4t\dot{u} - 4u + 8t^2 = 0 \quad p \in \mathbb{Q}(t)\{u\}$$

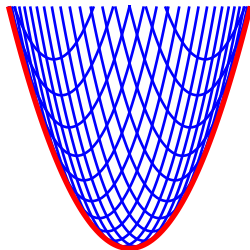
Separante von  $p$ :  $\frac{\partial p}{\partial \dot{u}} = 2\dot{u} - 4t$

$$\text{res}(p, \frac{\partial p}{\partial \dot{u}}, \dot{u}) = -16u + 16t^2$$

Thomas-Zerlegung:

$$\begin{array}{l} p = 0 \\ u - t^2 \neq 0 \end{array}$$

$$u - t^2 = 0$$



allgemeine Lösung:  $u(t) = 2((t + c)^2 + c^2), \quad c \in \mathbb{R}$

wesentliche singuläre Lösung:  $u(t) = t^2$



# Spezielle Lösungen

$$u_t - 6uu_x + u_{x,x,x} = 0 \quad (\text{Korteweg-de Vries})$$

$$\text{Ansatz: } u(t, x) = f(t)g(x)$$

$$\text{PDE: } uu_{t,x} - u_t u_x = 0$$

$$\text{Thomas-Zerlegung von } \{u_t - 6uu_x + \underline{u_{x,x,x}} = 0, \underline{uu_{t,x}} - u_t u_x = 0\}:$$

$$u = 0$$

Lösungen:

$$\begin{aligned} u_t - 6uu_x &= 0 \\ u_{x,x} &= 0 \\ u &\neq 0 \end{aligned}$$

$$u(t, x) = \frac{x+c_1}{-6t+c_2}$$

$$\begin{aligned} u_t &= 0 \\ u_{x,x,x} - 6uu_x &= 0 \\ u_{x,x} &\neq 0 \\ u &\neq 0 \end{aligned}$$

$$x = \pm \int_{u(0)}^{u(x)} \frac{dz}{\sqrt{2z^3 - az - b}}$$

# Thomas-Zerlegung

- 1937: J. M. Thomas: “Differential Systems”.
- 1998: D. Wang: Implementation für algebraische Systeme
- 2007: V. Gerdt: “On decomposition of algebraic PDE systems into simple subsystems”
- 2009: W. Plesken: “Counting solutions of polynomial systems via iterated fibrations”
- seit 2009: Implementationen in Maple für
  - algebraische Systeme (T. Bächler)
  - PDE-Systeme (M. Lange-Hegermann)

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*Algorithmic Thomas decomposition of algebraic and differential systems*,  
J. Symbolic Computation 47(10):1233–1266, 2012.

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J. Symbolic Computation 25(3):295–314, 1998.

E. Hubert,

*Notes on triangular sets and triangulation-decomposition algorithms*.  
in: LNCS, Vol. 2630, 2003, pp. 1–39 and 40–87.

F. Lemaire, M. Moreno Maza, Y. Xie,

*The RegularChains library in Maple*, SIGSAM Bulletin 39(3):96–97, 2005.

## 2. Implizitisierungsproblem

# Implizitisierungsproblem

$\Omega \subseteq \mathbb{C}^n$  offen und zusammenhängend,  $z_1, \dots, z_n$  Koordinaten

$\mathcal{O} :=$  Ring der analytischen Funktionen auf  $\Omega$ ,  $K := \text{Quot}(\mathcal{O})$

$\partial_i$  partieller Differentialoperator bzgl.  $z_i$

$p \in \mathcal{O}[F_1, \dots, F_k]$  multilinear,  $\mathcal{O}[F_1, \dots, F_k] \subset K\{F_1, \dots, F_k\}$

$\alpha_i : \Omega \rightarrow \mathbb{C}^{\nu(i)}$  analytisch mit Jacobi-Matrix vom Rang  $\nu(i)$  auf  $\Omega$

$S(p; \alpha_1, \dots, \alpha_k) := \{ p(f_1 \circ \alpha_1, \dots, f_k \circ \alpha_k) \mid f_1, \dots, f_k \text{ analytisch} \}$

implizite Beschreibung von  $S = S(p; \alpha_1, \dots, \alpha_k)$ ?

**Satz.** Das Verschwindungsideal von  $S$  in  $K\{U\}$  ist prim.

# Implizitisierungsproblem

Genauer gesagt ist die parametrisierte Familie  $S(p; \alpha_1, \dots, \alpha_k)$  von analytischen Funktionen auf  $\Omega$  definiert durch

$\{ u: \Omega \longrightarrow \mathbb{C} \mid u \text{ ist komplex-analytisch; für fast alle } w \in \Omega \text{ gibt es eine offene Umgebung } \Omega' \subseteq \Omega \text{ von } w \text{ und analytische Funktionen } f_i: \alpha_i(\Omega') \rightarrow \mathbb{C}, i = 1, \dots, k, u(z) = p(f_1(\alpha_1(z)), \dots, f_k(\alpha_k(z))) \text{ für alle } z \in \Omega' \}.$

**Beispiel.**  $\Omega = \mathbb{C}$ . Ist  $x$  von der Form  $f(x^2)$ ?

**Beispiel.**  $\Omega = \mathbb{C}$ . Ist  $\sin(x)/x$  von der Form  $f(x) \cdot \sin(x)$ ?

## Beispiel (linear)

$$f_1(x) \cdot (\cos(x+y))^2 + f_2(y) \cdot \cos(2x+y), \quad f_1, f_2 \text{ analytisch}$$

$$D_1 := \frac{\partial}{\partial y} \circ \frac{1}{(\cos(x+y))^2} \quad \longleftrightarrow \quad f_1(x) \cdot (\cos(x+y))^2$$

$$D_2 := \frac{\partial}{\partial x} \circ \frac{1}{\cos(2x+y)} \quad \longleftrightarrow \quad f_2(y) \cdot \cos(2x+y)$$

$$I := \langle D_1 \rangle \cap \langle D_2 \rangle \quad \text{Linksideal von} \quad K \left\langle \frac{\partial}{\partial x}, \frac{\partial}{\partial y} \right\rangle$$

$$I \quad \longleftrightarrow \quad f_1(x) \cdot (\cos(x+y))^2 + f_2(y) \cdot \cos(2x+y)$$

$$D_1 = \frac{1}{(\cos(x+y))^2} \partial_y + \frac{2 \sin(x+y)}{(\cos(x+y))^3}, \quad D_2 = \frac{1}{\cos(2x+y)} \partial_x + \frac{2 \sin(2x+y)}{(\cos(2x+y))^2}$$



# Implizitisierungsproblem

$\alpha_i : \Omega \rightarrow \mathbb{C}^{\nu(i)}$  analytisch mit Jacobi-Matrix vom Rang  $\nu(i)$  auf  $\Omega$

$S(\alpha_1, g_1; \dots; \alpha_k, g_k) :=$  Familie von analytischen Funktionen

$$f_1(\alpha_1(z))g_1(z) + \dots + f_k(\alpha_k(z))g_k(z)$$

## Lemma

Sei  $b_1, \dots, b_{n-\nu(i)} \in K^{n \times 1}$  eine Basis des Kerns von  $(\frac{\partial \alpha_i}{\partial z})$ . Für jede analytische Funktion  $u : \Omega \rightarrow \mathbb{C}$  gilt  $u \in S(\alpha_i, g_i)$  g.d.w.  $u$  annihiliert wird von

$$\left( \sum_{r=1}^n (b_j)_n \partial_r \right) \circ \frac{1}{g_i}, \quad j = 1, \dots, n - \nu(i).$$

## Satz

$$S(\alpha_1, g_1; \dots; \alpha_k, g_k) = \text{Sol}_\Omega(\mathcal{I}(\alpha, g)), \quad \text{wobei} \quad \mathcal{I}(\alpha, g) = \bigcap_{i=1}^k \mathcal{I}(\alpha_i, g_i).$$

# Zerlegung von Funktionsfamilien

J. d'Alembert (1747):

$$u(x, y) = f(x) g(y) \quad \text{hinreichend glatt} \quad \Rightarrow \quad \begin{vmatrix} u & u_x \\ u_y & u_{x,y} \end{vmatrix} = 0$$

C. Stéphanos (ICM 1904): (wahrsch. Annahme: Analytizität; ohne Beweis)

$$u(x, y) = \sum_{i=1}^n f_i(x) g_i(y) \quad \Longleftrightarrow \quad \begin{vmatrix} u & u_x & \dots & u_x^n \\ u_y & u_{x,y} & \dots & u_{x^n,y} \\ \vdots & \vdots & \ddots & \vdots \\ u_{y^n} & u_{x,y^n} & \dots & u_{x^n,y^n} \end{vmatrix} = 0 \quad (*)$$

F. Neuman (1981): Charakterisierung für  $C^n$ -Funktionen

Th. M. Rassias (1984): Gegenbeispiel mit weniger Regularität

weitere Arbeiten: Neuman, Rassias, Šimša, Čadek, Gauchman, Rubel

cf. Rassias, Šimša, *Finite sums decompositions in mathematical analysis*, 1995.

$$f_1(w)f_2(x) + f_3(y)f_4(z)$$

$$u_{w,y} = u_{w,z} = u_{x,y} = u_{x,z} = 0 \quad (\Sigma_0)$$

$$u_w u_x = 0, \quad u_y u_z = 0 \quad (\Sigma_1)$$

$$u_{y,z} \neq 0, \quad u_w u_x = 0, \quad \left| \begin{array}{cc} u_y & u_{y,y} \\ u_{y,z} & u_{y,y,z} \end{array} \right| = 0, \quad \left| \begin{array}{cc} u_z & u_{y,z} \\ u_{z,z} & u_{y,z,z} \end{array} \right| = 0 \quad (\Sigma_2)$$

$$u_{w,x} \neq 0, \quad u_y u_z = 0, \quad \left| \begin{array}{cc} u_w & u_{w,w} \\ u_{w,x} & u_{w,w,x} \end{array} \right| = 0, \quad \left| \begin{array}{cc} u_x & u_{w,x} \\ u_{x,x} & u_{w,x,x} \end{array} \right| = 0 \quad (\Sigma_3)$$

$$u_{w,x} \neq 0, \quad u_{y,z} \neq 0, \quad \left| \begin{array}{ccc} u & u_w & u_y \\ u_x & u_{w,x} & 0 \\ u_z & 0 & u_{y,z} \end{array} \right| = 0 \quad (\Sigma_4)$$

$$(\Sigma_0, \Sigma_1) : \quad \{f_1(w) + f_3(y)\} \cup \{f_1(w) + f_4(z)\} \cup \{f_2(x) + f_3(y)\} \cup \{f_2(x) + f_4(z)\}$$

$$(\Sigma_0, \Sigma_2) : \quad \{f_1(w) + f_3(y)f_4(z) \mid f'_3 \neq 0 \neq f'_4\} \cup \{f_2(x) + f_3(y)f_4(z) \mid f'_3 \neq 0 \neq f'_4\}$$

$$(\Sigma_0, \Sigma_3) : \quad \{f_1(w)f_2(x) + f_3(y) \mid f'_1 \neq 0 \neq f'_2\} \cup \{f_1(w)f_2(x) + f_4(z) \mid f'_1 \neq 0 \neq f'_2\}$$

$$(\Sigma_0, \Sigma_4) : \quad \{f_1(w)f_2(x) + f_3(y)f_4(z) \mid f'_1 \neq 0, f'_2 \neq 0, f'_3 \neq 0, f'_4 \neq 0\}$$

$$f_1(x)f_2(y) + f_3(y)f_4(z)$$

$$u_{x,z} = 0 \quad (\Sigma_0)$$

$$u_x u_z = 0, \quad u_{y,z} = u_{x,y} = 0 \quad (\Sigma_1)$$

$$u_{y,z} \neq 0, \quad u_{x,y} = 0, \quad \left| \begin{array}{cc} u_y & u_{y,y} \\ u_{y,z} & u_{y,y,z} \end{array} \right| = 0, \quad \left| \begin{array}{cc} u_z & u_{y,z} \\ u_{z,z} & u_{y,z,z} \end{array} \right| = 0 \quad (\Sigma_2)$$

$$u_{x,y} \neq 0, \quad u_{y,z} = 0, \quad \left| \begin{array}{cc} u_x & u_{x,x} \\ u_{x,y} & u_{x,x,y} \end{array} \right| = 0, \quad \left| \begin{array}{cc} u_y & u_{x,y} \\ u_{y,y} & u_{x,y,y} \end{array} \right| = 0 \quad (\Sigma_3)$$

$$u_x \neq 0, \quad u_z \neq 0, \quad \left| \begin{array}{cc} u & u_x \\ u_y & u_{x,y} \end{array} \right| = 0, \quad \left| \begin{array}{cc} u & u_y \\ u_z & u_{y,z} \end{array} \right| = 0 \quad (\Sigma_4)$$

$$\left. \begin{array}{l} u_{x,y} \neq 0, \quad u_{y,z} \neq 0, \quad \left| \begin{array}{cc} u_x & u_{x,x} \\ u_{x,y} & u_{x,x,y} \end{array} \right| = 0, \quad \left| \begin{array}{cc} u_z & u_{y,z} \\ u_{z,z} & u_{y,z,z} \end{array} \right| = 0 \\ \left| \begin{array}{ccc} u & u_y & u_{y,y} \\ u_x & u_{x,y} & u_{x,y,y} \\ u_z & u_{y,z} & u_{y,y,z} \end{array} \right| = 0, \quad \left| \begin{array}{cc} u_x & u_{x,y} \\ u_z & u_{y,z} \end{array} \right| \neq 0 \end{array} \right\} \quad (\Sigma_5)$$

$$f_1(x)f_2(y) + f_3(y)f_4(z)$$

$$(\Sigma_0, \Sigma_1) : \quad \{f_1(x) + f_3(y)\} \cup \{f_2(y) + f_4(z)\}$$

$$(\Sigma_0, \Sigma_2) : \quad \{f_1(x) + f_3(y)f_4(z) \mid f'_3 \neq 0 \neq f'_4\}$$

$$(\Sigma_0, \Sigma_3) : \quad \{f_1(x)f_2(y) + f_4(z) \mid f'_1 \neq 0 \neq f'_2\}$$

$$(\Sigma_0, \Sigma_4) : \quad \{f_2(y)(f_1(x) + f_4(z)) \mid f'_1 \neq 0 \neq f'_4\}$$

$$(\Sigma_0, \Sigma_5) : \quad \{f_1(x)f_2(y) + f_3(y)f_4(z) \mid f'_1 \neq 0, f'_2 \neq 0, f'_3 \neq 0, f'_4 \neq 0, f_2/f_3 \neq \text{const}\}$$

## Bemerkung

Darstellungen sind i.a. nicht eindeutig; z.B.

$$xy + yz + y = (x + 1)y + yz = xy + y(z + 1) = y(x + z + 1).$$

# Verschwindungsideal

$$p \in \mathcal{O}[F_1, \dots, F_k] \quad \text{multilinear}, \quad S = S(p; \alpha_1, \dots, \alpha_k)$$

$Z_i :=$  von Annihilator von  $f_i \circ \alpha_i$  erzeugtes Differentialideal von  $K\{F_i\}$   
(lineare Differentialpolynome erster Ordnung)

$Z :=$  von  $Z_1, \dots, Z_k$  erzeugtes Differentialideal von  $K\{F_1, \dots, F_k\}$   
(Prim-Differentialideal)

$$\Phi : K\{U\} \longrightarrow K\{F_1, \dots, F_k\}/Z : U \longmapsto p + Z$$

$$\mathcal{I}_{K\{U\}}(S) = \ker \Phi$$

$$K\{F_1, \dots, F_k\}/Z \text{ Integritätsbereich} \quad \Rightarrow \quad \mathcal{I}_{K\{U\}}(S) \text{ prim.}$$

$$\mathcal{I}_{K\{U\}}(S) \text{ endlich erzeugt als Radikal-Differentialideal}$$

# Symbolisches Lösen

Anwendung:

$$\left(\frac{\partial^2 u}{\partial x^2}\right)^2 + \frac{\partial^2 u}{\partial y^2} + \frac{\partial u}{\partial x} + \frac{\partial u}{\partial y} = 0 \quad (1)$$

pdsolve (Maple):

allgemeine Lösung  $F_1(x) + F_2(y)$  mit Bedingungen an  $F_i$

implizite Beschreibung von  $F_1(x) + F_2(y)$ :  $\frac{\partial^2 U}{\partial x \partial y} = 0$

$u(x, y) = (x + y + 1)e^{-y}$  ist eine Lösung von (1),

ist jedoch *nicht* von der Form  $F_1(x) + F_2(y)$ !

# Symbolisches Lösen

$$\left(\frac{\partial^2 u}{\partial x^2}\right)^2 + \frac{\partial^2 u}{\partial y^2} + \frac{\partial u}{\partial x} + \frac{\partial u}{\partial y} = 0 \quad (2)$$

pdsolve (Maple): allg. Lösung  $F_1(x) + F_2(y)$ , Bedingungen an  $F_i$

Thomas-Zerlegung:

$$\begin{array}{l} \underline{u_{x,x}}^2 + u_{y,y} + u_x + u_y = 0 \\ \underline{u_{y,y}} + u_x + u_y \neq 0 \end{array}$$

$$\begin{array}{l} u_{x,x} = 0 \\ u_{y,y} + u_x + u_y = 0 \end{array}$$

$$u(x, y) = (c_4 (x + y + 1) - c_3) e^{-y} + c_2 (x - y) + c_1, \quad c_1, \dots, c_4 \in \mathbb{R}$$

z.B. ist  $u(x, y) = (x + y + 1)e^{-y}$  eine Lösung von (2),

ist jedoch nicht von der Form  $F_1(x) + F_2(y)$  (wende  $\frac{\partial^2}{\partial x \partial y}$  an)



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### 3. Eliminationsverfahren

# Implizitisierung

- (a)  $I := \text{Diff.-Ideal von } (K\{F_1, \dots, F_k\}/Z)\{U\}$  erz. von  $U - (p + Z)$ ,  
berechne  $\mathcal{I}_{K\{U\}}(S) = I \cap K\{U\}$  (Diff.-Alg.)
- (b) drücke Ableitungen von  $u(z) = p(f_1(\alpha_1(z)), \dots, f_k(\alpha_k(z)))$  bis  
Ordnung  $\omega$  in  $U_J, F_{j,J}$  aus (für  $\partial^J u, (\partial^J f_j) \circ \alpha_j$ ),  
berechne  $\mathcal{I}_{K\{U\}}(S)_{\leq \omega}$  durch Elimination von  $F_{j,J}$  (komm. Alg.)
- (c)  $\alpha_i$  homogen;  $K\{U\}$  mit Standard-Graduierung:  $\deg U_J = 1$   
Diff.-Monome in  $U$  vom Grad  $d$  und Ordnung  $\omega$  erzeugen einen  
endlich-dim.  $\mathbb{C}$ -Vektorraum  $\mathbb{C}\{U\}_{d,\omega}$   
berechne  $\mathcal{I}_{K\{U\}}(S) \cap \mathbb{C}\{U\}_{d,\omega}$  durch Koeff.-Vergleich (lin. Alg.)

# Differential-Elimination

$$R = K\{u_1, \dots, u_m\}, \quad B_1 \uplus \dots \uplus B_k = U := \{u_1, \dots, u_m\} \quad \text{Partition}$$

$$\text{Block ranking:} \quad u_{i_1} \in B_{j_1}, \quad u_{i_2} \in B_{j_2}, \quad J_1, J_2 \in (\mathbb{Z}_{\geq 0})^n$$

$$\partial^{J_1} u_{i_1} > \partial^{J_2} u_{i_2} \iff \begin{cases} j_1 < j_2 \text{ oder } (j_1 = j_2 \text{ und } (\partial^{J_1} > \partial^{J_2} \\ \text{oder } (J_1 = J_2 \text{ und } \pi^{-1}(u_{i_1}) < \pi^{-1}(u_{i_2}))) \end{cases}$$

**Satz.**  $S$  einfaches Differentialsystem,  $1 \leq i \leq k$

$E_i \subset K\{B_i, \dots, B_k\}$  von  $\{p_1, \dots, p_s\} \cap K\{B_i, \dots, B_k\}$  erz. Diff.-Ideal

$q_i$  Produkt der Initiale und Separanten aller  $p_i$  im Schnitt

$$\text{Dann} \quad (E : q^\infty) \cap K\{B_i, \dots, B_k\} = E_i : q_i^\infty.$$

$$\text{Kor.} \quad \sqrt{E : q^\infty} \cap K\{B_i, \dots, B_k\} = (E_1 : q_1^\infty) \cap \dots \cap (E_r : q_r^\infty).$$

# Differential-Elimination

$$u(w, x, y, z) = f_1(w)f_2(x) + f_3(y)f_4(z)$$

$R = K\{f_1, f_2, f_3, f_4, u\}$  mit Derivationen  $\partial_w, \partial_x, \partial_y, \partial_z$

block ranking  $>$  mit  $\{f_1, f_2, f_3, f_4\} \gg \{u\}$

$$\left\{ \begin{array}{l} u - f_1f_2 - f_3f_4 = 0, \\ (f_1)_x = (f_1)_y = (f_1)_z = 0, \quad (f_2)_w = (f_2)_y = (f_2)_z = 0, \\ (f_3)_w = (f_3)_x = (f_3)_z = 0, \quad (f_4)_w = (f_4)_x = (f_4)_y = 0. \end{array} \right.$$

Maple  $\rightsquigarrow$  Thomas-Zerlegung bestehend aus 16 einfachen Systemen

Schnitte mit  $K\{u\}$   $\rightsquigarrow$  10 einfache Systeme

# Differential-Elimination

$$\left\{ \begin{array}{l} u_w \underline{u_{w,w,x}} - u_{w,w} u_{w,x} = 0, \quad u_x \underline{u_{w,x,x}} - u_{w,x} u_{x,x} = 0, \\ u_{w,w,y} = u_{w,w,z} = u_{w,x,y} = u_{w,x,z} = 0, \\ (u u_{w,x} - u_w u_x) \underline{u_{y,z}} - u_y u_z u_{w,x} = 0, \quad u_{w,y} = u_{w,z} = u_{x,y} = u_{x,z} = 0, \\ u \neq 0, \quad u_w \neq 0, \quad u_x \neq 0, \quad u_{w,x} \neq 0, \quad \underline{u u_{w,x}} - u_w u_x \neq 0, \end{array} \right.$$

$\{ u(w, x, y, z) = f_1(w) \cdot f_2(x) + f_3(y) \cdot f_4(z) \mid f'_1 \neq 0, f'_2 \neq 0, \\ u \text{ ist nicht lokal von der Form } F_1(w) \cdot F_2(x) \text{ mit } F_1, F_2 \text{ analytisch} \}.$

$$\left\{ \begin{array}{l} u_y \underline{u_{y,y,z}} - u_{y,y} u_{y,z} = 0, \quad u_z \underline{u_{y,z,z}} - u_{y,z} u_{z,z} = 0, \\ u_{w,x} = u_{w,y} = u_{w,z} = 0, \quad u_x = 0, \\ u \neq 0, \quad u_y \neq 0, \quad u_z \neq 0, \quad u_{y,z} \neq 0, \quad \underline{u u_{y,z}} - u_y u_z \neq 0, \end{array} \right.$$

$\{ u(w, x, y, z) = f_1(w) + f_3(y) \cdot f_4(z) \mid f'_3 \neq 0, f'_4 \neq 0, \\ u \text{ ist nicht lokal von der Form } F_3(y) \cdot F_4(z) \text{ mit } F_3, F_4 \text{ analytisch} \}.$

# Differential-Elimination

$$\left\{ \begin{array}{l} u_y \underline{u_{y,y,z}} - u_{y,y} u_{y,z} = 0, \quad u_z \underline{u_{y,z,z}} - u_{y,z} u_{z,z} = 0, \\ u_{x,y} = u_{x,z} = 0, \quad u_w = 0, \\ u \neq 0, \quad u_x \neq 0, \quad u_y \neq 0, \quad u_z \neq 0, \quad u_{y,z} \neq 0, \quad \underline{u u_{y,z}} - u_y u_z \neq 0, \end{array} \right.$$

$$\{ f_2(x) + f_3(y) \cdot f_4(z) \mid f_2' \neq 0, f_3' \neq 0, f_4' \neq 0 \}.$$

$$\underline{u u_{w,x}} - u_w u_x = 0, \quad u_{w,y} = u_{w,z} = 0, \quad u_y = u_z = 0, \quad u \neq 0,$$

$$\{ f_1(w) \cdot f_2(x) \mid f_1 \neq 0, f_2 \neq 0 \}.$$

$$\underline{u u_{y,z}} - u_y u_z = 0, \quad u_w = u_x = 0, \quad u \neq 0,$$

$$\{ f_3(y) \cdot f_4(z) \mid f_3 \neq 0, f_4 \neq 0 \}.$$

$$u_{w,x} = u_{w,y} = u_{w,z} = 0, \quad u_x = u_y = 0, \quad u \neq 0, \quad u_z \neq 0,$$

$$\{ f_1(w) + f_4(z) \mid f_4' \neq 0 \}.$$



# Differential-Elimination

$$u_{w,x} = u_{w,y} = u_{w,z} = 0, \quad u_x = u_z = 0, \quad u \neq 0,$$

$$\{ u(w, x, y, z) = f_1(w) + f_3(y) \mid u \neq 0 \}.$$

$$u_{x,y} = u_{x,z} = 0, \quad u_w = u_z = 0, \quad u \neq 0, \quad u_x \neq 0,$$

$$\{ f_2(x) + f_3(y) \mid f_2' \neq 0 \}.$$

$$u_{x,y} = u_{x,z} = 0, \quad u_w = u_y = 0, \quad u \neq 0, \quad u_x \neq 0, \quad u_z \neq 0,$$

$$\{ f_2(x) + f_4(z) \mid f_2' \neq 0, f_4' \neq 0 \}.$$

$$u = 0.$$

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